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	Filed:	iviai	ch 8, 2	2001			Art	Unit: 2	262	1		
,	For:	API SU FRI	THIN-FILM MAGNETIC HEAD APPROPRIATELY SUPPRESSING SIDE FRINGING AND METHOD FOR FABRICATING THE SAME			2						
	Attorne	ey Docket No:	9281	-3900 (N US00	0052)							
	Mail Stop Patent Ext. Commissioner for Patents							RANSM	11T	TAL		
	⊠ . Tr	ansmittal Letter (in		te); Request for Rec	onsiderat	ion	of Paten	t Term Adju	ıstmı	ent (in du	plicate)	
		th attached Appen		d B.								
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	☐ Ar	· •	an amoui	nt of \$ fo	ra		month	extension	of tir	ne under	37 C.F.F	R. §
	□ A	petition or process	ing fee ii	n an amount of \$		und	er 37 C.f	F.R. § 1.17(		).		
	□ A <sub>1</sub>	n additional filing fe	e has be	een calculated as sh	own belo	w:						
_							Sma	II Entity		Not a S	mall Entity	у
		Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee	
	Total		Minus			Ц	x \$25=			x \$50=		
	Indep.		Minus			Ц	x 100=			x \$200=		
	First Pres	entation of Multiple D	ep. Claim	l			+\$180=			+ \$360=		
	⊠ PI	check in the amou ease charge Depo	sit Accou	for the Petition funt No. 23-1925 in t	he amoun	t of	f \$200.			Total		\$
	Payment by credit card in the amount of \$ (Form PTO-2038 is attached).											

✓ Please charge Deposit Account No. 23-1925 in the amount of \$200.
 ☐ Payment by credit card in the amount of \$\_\_\_\_\_\_ (Form PTO-2038 is attached).
 ✓ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

2/4/2 7 Date Respectfully submitted,

Gustavo Siller, Jr (Reg. No. 32,305)



"Express Mail" mailing label number: EV 924791802 US

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Chen, T.

2627

Art Unit:

In re Appln. of: SATO et al.

Appln. No.: 09/802,314

March 8, 2001

For:

Filed:

THIN-FILM MAGNETIC HEAD

APPROPRIATELY
SUPPRESSING SIDE
FRINGING AND METHOD
FOR FABRICATING THE

SAME

Attorney Docket No: 9281-3900 (N US00052)

# REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT

Mail Stop Patent Ext Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Decision Granting Letter from the Office of Petitions indicated that the Patent Term Adjustment (PTA) for the above referenced case is 320 days. A copy of the Decision Granting Letter is attached as Exhibit A.

Applicant's Attorney believes that the patent term adjustment should be 1842 days. For the reasons stated herein, reconsideration of the patent term adjustment is respectfully requested pursuant to 37 C.F.R. 1.705(b). Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

The present application is <u>not</u> subject to a terminal disclaimer. In addition, there were no circumstances during the prosecution of the application resulting in the notice

2/08/2007 WABDELR3 00000001 231925 09802314

of allowance that constitute a failure of the Applicant to engage in reasonable efforts to conclude processing or examination of the present application as set forth in 37 C.F.R. §1.704.

### Period of adjustment pursuant to 37 C.F.R. § 1.703(a)(6)

The period of adjustment pursuant to 37 C.F.R. § 1.703(a)(6) is the number of days in the period beginning on the day after the date that is four months after the date the issue fee was paid and all outstanding requirements were satisfied and ending on the date a patent was issued.

The issue fee was paid and all outstanding requirements were satisfied on June 9, 2005, as shown on Public Pair PTA History, herein attached as Exhibit B. The number of days from October 9, 2005 (four months from June 9, 2005) to the date a patent issued (January 23, 2007) is <u>471</u> days.

# Period of adjustment pursuant to 37 C.F.R. § 1.703(b)

The period of adjustment pursuant to 37 C.F.R. § 1.703(b) is the number of days in the period beginning on the day ("the 3 year date") after the date that is three years after the date on which the application was filed pursuant to 35 U.S.C. § 111(a) or fulfilled the requirements pursuant to 35 U.S.C. § 371.

The present application was filed on March 8, 2001. The 3 year date specified in 37 C.F.R. § 1.703(b) is March 8, 2004. The PTO issued a patent for the present application on January 23, 2007. The difference between the 3 year date and the date of issue of the patent is 1051 days.

**Total Patent Term Adjustment** 

For the present application, the total patent term adjustment pursuant to 37

C.F.R. § 1.703(f) is the period of adjustment pursuant to 37 C.F.R. § 1.703 reduced by

any delays pursuant to 37 C.F.R. § 1.704. Thus, according to our calculations, we

believe that the patent term adjustment should be at least 320 + 471 + 1051 days =

<u>1842</u> days.

It is respectfully asserted that the patent term adjustment determined by the

U.S. Patent and Trademark Office for the present application may not be correct.

Accordingly, Applicant's Attorney respectfully requests the U.S. Patent and

Trademark Office to reconsider, and make revisions to the PAIR system Patent Term

Adjustment History in view of the previous remarks. In addition, it is respectfully

requested that the patent term adjustment be re-calculated by the U.S. Patent and

Trademark Office in view of the above remarks. Office personnel are invited to

contact the undersigned attorney for the Applicant's Attorney via telephone if such

communication would be beneficial in fulfilling this request.

Respectfully submitted,

Gustavo Siller, Jr.

Registration No. 32,305

Attorney for Applicants

BRINKS HOFER GILSON & LIONE P.O. BOX 10395

CHICAGO, ILLINOIS 60610

(312) 321-4200

3





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

COPY MAILED

DEC 0 1 2006

Brinks Hofer Gilson & Lione PO Box 10395 Chicago, Illinois 60610

OFFICE OF PETITIONS

In re Application of Sato et al. Filed March 8, 2001 Application No. 09802,314 Attorney Docket No. 9281/3900

**DECISION GRANTING LETTER** 

This decision is in response to Applicants' 'REQUEST FOR REVIEW OF THE PATENT TERM ADJUSTMENT" filed on May 9, 2005 requesting that the USPTO review the PTA determination at the time of the mailing of the notice of allowance.

Applicant's letter concerning PTA is **GRANTED**. The Office will adjust the PTA calculation at the time of the mailing of the notice of allowance to reflect this determination of PTA. A copy of the adjusted PTA calculation is provided with this determination. The correct amount of PTA at the time of the mailing of the decision is 320 days.

Applicant asserts that the USPTO may have granted too much PTA at the time of the notice of allowance. Applicant requests review of the PTA determination but did not provide any assert any specific reason for the discrepancy.

A review of the record reflects that applicant is correct. The Office failed to reduce applicants' PTA for two events. First, applicants filed a response to the notice to file missing parts on August 16, 2001. This delay amounted to 34 days. In addition, the reply submitted was noncompliant and accordingly, applicant did not file the drawings until April 15, 2002, a 242-day delay pursuant to 37 CFR 1.704(c)(7). Accordingly, the 66-day reduction that the Office assessed overlaps with the reduction for the two periods enumerated supra and therefore the 66-day reduction is removed. The Office properly calculated the 630-day reduction under 37 CFR 1.702(a)(1). In addition, the Office properly calculated the thirty-four day reduction under 37 CFR 1.704(b) for the reply to the final rejection. Accordingly, the correct amount of PTA is three hundred and twenty (630-242-34-34=320) days at the time of the mailing of the notice of allowance.

<sup>&</sup>lt;sup>1</sup>Applicant did not reply to the notice until 8/16/2001, a delay of 34 days beyond three months. It is noted that the notice to file missing parts provides a two-month reply period. However, applicants are provide three-months to reply to this notice regardless of the shortened statutory period set here.

<sup>&</sup>lt;sup>2</sup>The reduction began the day after the initial response on 8/17/2001 and ended on the day the response was completed, April 15, 2002.

The USPTO acknowledges that any delays pursuant to 37 CFR 1.702(a)(4) and 1.702(b) will be added at the time of the issuance of the patent and will be reflected in the PTA determination provided to applicant in the issue notification letter.

After the mailing of this decision, the Office will forward this application to the Office of Publications for a prompt issuance of the patent.

If applicant believes that the Office erred in calculating the PTA for reasons other than provided in this decision, applicant may provide further clarification as to the inaccuracy of such calculation.

Because applicants were providing good faith and candor notice as to the amount of PTA, no fee is being assessed.

Any questions concerning this decision should be directed to Alesia Brown, Office of Patent Petitions at 571-272-3205.

Kery A. Fres

Senior Legal Advisor,

Office of Patent Legal Administration

Office of Deputy Commissioner For Patent Examination Policy

cc: Adjusted PAIR calculation

Day: Thursday Date: 11/30/2006

\_\_\_\_\_ Time: 12:32:40

# PALM INTRANET

PTA Calculations for Application: <u>09/802314</u>									
Application Filing Date:	03/08/2001	PTO Delay (PTO):	630						
Issue Date of Patent:		Three Years:	0						
Pre-Issue Petitions:	0	Applicant Delay (APPL):	100						
Post-Issue Petitions:	0	Total PTA (days):	320						
PTO Delay Adjustment:	-210								

File Contents History								
Number		Contents Description	<del>{===</del>	APPL	START			
87	11/30/2006	ADJUSTMENT OF PTA CALCULATION BY PTO	132					
86	11/30/2006	ADJUSTMENT OF PTA CALCULATION BY PTO		276				
85	11/30/2006	ADJUSTMENT OF PTA CALCULATION BY PTO		66				
65	03/11/2005	MAIL NOTICE OF ALLOWANCE						
64	03/11/2005	MAIL FORMAL DRAWINGS REQUIRED						
63	03/07/2005	ISSUE REVISION COMPLETED						
62	03/07/2005	FORMAL DRAWINGS REQUIRED						
61	03/07/2005	NOTICE OF ALLOWANCE DATA VERIFICATION COMPLETED						
60	03/07/2005	NOTICE OF ALLOWABILITY						
57	02/17/2005	INFORMATION DISCLOSURE STATEMENT (IDS) FILED						
56	02/24/2005	DATE FORWARDED TO EXAMINER						
55	02/17/2005	AMENDMENT AFTER FINAL REJECTION						
53	02/17/2005	WORKFLOW INCOMING AMENDMENT IFW			-			
52		REFERENCE CAPTURE ON IDS	]					
51	10/29/2004	INFORMATION DISCLOSURE STATEMENT (IDS) FILED						
50	12/15/2004	DATE FORWARDED TO EXAMINER						
49	11/05/2004	AMENDMENT AFTER FINAL REJECTION	]	34	38			
48	11/05/2004	REQUEST FOR EXTENSION OF TIME - GRANTED						
47	12/13/2004	MAIL FINAL REJECTION (PTOL - 326)						
46	12/11/2004	FINAL REJECTION						
45	11/05/2004	WORKFLOW INCOMING AMENDMENT IFW						
44	10/12/2004	MAIL ADVISORY: ACTION (PTOL - 303)						
43	10/07/2004	ADVISORY ACTION (PTOL-303)						

			. 11	ì	1
42	10/05/2004	IFW TSS PROCESSING BY TECH CENTER COMPLETE			
41	10/05/2004	DATE FORWARDED TO EXAMINER			
40	08/27/2004	AMENDMENT AFTER FINAL REJECTION			
39	08/27/2004	WORKFLOW INCOMING AMENDMENT IFW			
38	07/02/2004	MAIL FINAL REJECTION (PTOL - 326)			
37	06/28/2004	/28/2004 FINAL REJECTION			
36	05/28/2004	INFORMATION DISCLOSURE STATEMENT (IDS) FILED		0	34
35	06/09/2004	DATE FORWARDED TO EXAMINER			
34	05/28/2004	RESPONSE AFTER NON-FINAL ACTION			
33	05/28/2004	WORKFLOW INCOMING AMENDMENT IFW			
32	03/15/2004	MAIL NON-FINAL REJECTION			
31	03/11/2004	NON-FINAL REJECTION			
30	03/08/2001	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
29	09/17/2001	REQUEST FOR FOREIGN PRIORITY (PRIORITY PAPERS MAY BE INCLUDED)			
28	02/24/2004	DATE FORWARDED TO EXAMINER			
27	02/20/2004	RESPONSE TO ELECTION / RESTRICTION FILED			
26	02/12/2004	APPLICATION DISPATCHED FROM OIPE			
25	02/12/2004	APPLICATION IS NOW COMPLETE			
24	09/17/2001	PAYMENT OF ADDITIONAL FILING FEE/PREEXAM		66	5
23	09/17/2001	A STATEMENT BY ONE OR MORE INVENTORS SATISFYING THE REQUIREMENT UNDER 35 USC 115, OATH OF THE APPLIC			
22	01/28/2004	MAIL RESTRICTION REQUIREMENT	630		-1
21	01/23/2004	REQUIREMENT FOR RESTRICTION / ELECTION			
20	12/23/2003	INFORMATION DISCLOSURE STATEMENT (IDS) FILED			
19		MISCELLANEOUS INCOMING LETTER			
18	11/26/2003	CASE DOCKETED TO EXAMINER IN GAU			
17	11/26/2003	DISPATCH FROM OIPE TO CORPS - U-P-R-D APPLICATION			
16	09/17/2001	OATH OR DECLARATION FILED (INCLUDING SUPPLEMENTAL)			
15	09/17/2001	SUBSTITUTE SPECIFICATION FILED	<u> </u>		<u> </u>
14	07/23/2003	PETITION DECISION - GRANTED			<u> </u>
13	03/24/2003	PETITION ENTERED			<u> </u>
	11	1	11	l)	11

12	05/21/2003	PETITION DECISION - GRANTED		
11	05/21/2003	PETITION DECISION - DISMISSED		
10	02/14/2002	PETITION ENTERED		
9	02/14/2002	PETITION ENTERED		
8	10/10/2001	PETITION DECISION - DISMISSED		
7	08/16/2001	PETITION ENTERED		
5	04/13/2001	NOTICE MAILEDAPPLICATION INCOMPLETE FILING DATE ASSIGNED		
4	04/13/2001	CORRESPONDENCE ADDRESS CHANGE	·	
3	04/13/2001	CORRESPONDENCE ADDRESS CHANGE		·
2	03/26/2001	IFW SCAN & PACR AUTO SECURITY REVIEW		
1	03/08/2001	INITIAL EXAM TEAM NN		

Search Another: Application#	dearch)	į
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## **EXPLANATION OF PTA CALCULATION**

### **EXPLANATION OF PTE CALCULATION**

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P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

ISSUE DATE

PATENT NO.

ATTORNEY DOCKET NO.

CONFIRMATION NO.

09/802,314

01/23/2007

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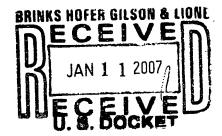
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01/03/2007

**BRINKS HOFER GILSON & LIONE** P.O. BOX 10395 CHICAGO, IL 60610



### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 543 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Kiyoshi Sato, Niigata-ken, JAPAN; Toshinori Watanabe, Niigata-ken, JAPAN; Hideki Gochou, Niigata-ken, JAPAN; Kiyoshi Kobayashi, Niigata-ken, JAPAN; Toru Takahashi, Niigata-ken, JAPAN; Hisayuki Yazawa, Niigata-ken, JAPAN; Masaki Ikegami, Niigata-ken, JAPAN, Deceased; Hiromi Ikegami, Niigata-ken, JAPAN, Legal Representative; Chiaki Ikegami, Niigata-ken, JAPAN, Legal Representative;

